

AUDIT COMMITTEE – FORWARD WORK PROGRAMME

AUDIT COMMITTEE – 21ST OCTOBER 2020 AT 10.00AM			
TITLE	PURPOSE	KEY ISSUES	PRESENTING OFFICER
Financial Statements for 2019/20 – Verbal update from Grant Thornton.			Grant Thornton – External Auditors
Annual Internal Audit Report 2019/20.			Deb Gronow
Audit Wales 2020 Audit Plan and Update Letters – Caerphilly County Borough Council.			Steve Harris/Gareth Jones
Internal Audit Services Annual Audit Plan 2020/21.			Deb Gronow
Financial Sustainability Assessment – Caerphilly County Borough Council.			Gareth Jones
Financial Sustainability of Local Government as a Result of the COVID-19 Pandemic.			Steve Harris/Gareth Jones
INFORMATION ITEMS			
Regulation of Investigatory Powers Act 2000	To advise Members of the numbers of covert surveillance operations undertaken by the Council in accordance with the provisions of the Regulation of Investigatory Powers Act 2000 (RIPA)		Lisa Lane

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Officers Declarations of Gifts and Hospitality	To provide the Audit Committee with the information regarding the Register of Employees' Interests Forms completed by officers of the Council (excluding Schools) regarding Gifts and Hospitality for the last quarter and a comparison with the previous three quarters.		Lynne Donovan
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SPECIAL AUDIT COMMITTEE – 16TH NOVEMBER 2020 AT 2PM

Audit Committee Assurances Over Management Processes and Arrangements	PURPOSE	KEY ISSUES	PRESENTING OFFICER
Financial Statements for 2019/20.			Steve Harris
			Steve Harris/Grant Thornton

AUDIT COMMITTEE – 26TH JANUARY 2021

TITLE	PURPOSE	KEY ISSUES	PRESENTING OFFICER
Audit Wales Report – the National Fraud Initiative in Wales 2018-20			Steve Harris
INFORMATION ITEMS			
Regulation of Investigatory Powers Act 2000	To advise Members of the numbers of covert surveillance operations undertaken by the Council in accordance with the provisions of the Regulation of		Lisa Lane

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	Investigatory Powers Act 2000 (RIPA)		
Officers Declarations of Gifts and Hospitality	To provide the Audit Committee with the information regarding the Register of Employees' Interests Forms completed by officers of the Council (excluding Schools) regarding Gifts and Hospitality for the last quarter and a comparison with the previous three quarters.		L. Donovan
Corporate Complaints	To provide Members with an update on the number of complaints received under the Corporate Complaints Policy during 2020.	To update Members on the use of the Policy and Procedure to deal effectively with unacceptable, persistent or unreasonable actions by complainants.	Lisa Lane